

# SOX Part 404 – Implementation for Oil and Gas Companies

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As a result of failures of large public companies due to poor corporate governance, public companies are required to meet the new standards of corporate governance legislated by Congress through the Sarbanes-Oxley Act. Individuals attending this seminar will be introduced to the Sarbanes-Oxley Act (SOX) and in particular Section 404 of the Act. The focus of the seminar will be on the understanding and “hands-on” implementation of the SOX Act.

## Who Should Attend:

- Corporate Executives, Chief Financial Officers, Corporate Controllers, Directors of Audit, Directors of Financial Reporting
- Project Managers, Process Owners and Public Accountants who perform audits

**Delivery Method:** Group-Live

**Program Level:** Basic

**Recommended CPE Credits:** 8

**Advance Preparation:** None

**Prerequisites:** Familiarity with basic oil and gas terminology

## Upon completion of the program, participants will be able to:

- Understand the basic sections of the Sarbanes-Oxley Act
- Understand the COSO framework and its application
- Understand the methods to achieve documentation and testing of internal controls
- Perform “hands-on” documentation and testing of Section 404 activities
- Understand and perform project planning activities

## Key Topics:

- Introduction and Background – The Sarbanes –Oxley Act and its Objectives
- Documentation and Evidence of Effective Internal Controls
- SOX Project Planning – Four Phases
- SOX Execution and Identification of Key Financial Processes & Cycles
- Evaluating Internal Control and Reporting Deficiencies
- Case Studies and Applications of SOX

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